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PATENT TRADEMARK OFFICE

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chen et al.

Attorney Docket No. CHEN0131

Serial No.: 09/597,931

Group Art Unit: 2875

Filed: June 19, 2000

Examiner:

Title: FLEXIBLE SUBSTRATE MOUNTED SOLID-STATE LIGHT SOURCES  
FOR EXTERIOR VEHICULAR LIGHTINGINFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

September 21, 2000

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TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Applicants are aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. \_\_\_\_\_ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided \_\_\_\_\_.
- ☒ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☐ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. \_\_\_\_\_ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. \_\_\_\_\_ the fee set forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ is enclosed.

1 6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed  
2 after the mailing date of either:

3 a. \_\_\_\_\_ a final action under 37 C.F.R. § 1.113; or

4 b. \_\_\_\_\_ a notice of allowance under 37 C.F.R. § 1.311,

5 but before payment of the issue fee. The statement is accompanied by a  
6 certification as specified in 37 C.F.R. § 1.97(e), a petition requesting  
7 consideration of the information disclosure statement, and the petition fee set  
8 forth in 37 C.F.R. § 1.17(i)(1). Check No. \_\_\_\_\_ in the amount of  
9 \$\_\_\_\_ is enclosed.

10 X 7. Please charge any additional fees or credit any overpayment to Deposit Account  
11 No. 01-1940. A copy of this sheet is enclosed.

12 Respectfully submitted,

13 

14 Ronald M. Anderson  
15 Registration No. 28,829

16 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed  
17 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and  
18 Trademarks, Washington, D.C. 20231, on September 21, 2000.

19 Date: September 21, 2000

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